

# Report

**Subject** : Risk Registers and Risk Management Update  
**Report to** : Audit Committee  
**Date** : Thursday 10 January 2008  
**Author** : Risk Management Group

---

## 1. Introduction:

- 1.1. Risk registers are provided to the Audit Committee to allow them to understand the key risks facing the authority and to allow them to monitor how those risks are being managed.

## 2. Current Risk Registers:

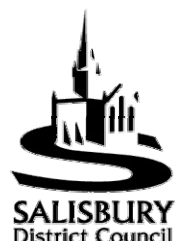
- 2.1 Attached is a copy of the corporate risk register as reported to Cabinet on 7<sup>th</sup> November. A risk map of the corporate risk register has also been produced to illustrate the risks against the council's risk appetite.
- 2.2 There are a number of risks beyond the council's risk appetite which reflects, in part, the current uncertainties around the impact of the transition to Wiltshire Council.
- 2.3 The previous meeting of the Audit Committee considered also the risk registers that had been prepared for the transition to Wiltshire Council and the 'business as usual' register. These have now been combined for the Corporate Management Team, but are not yet finalised. These will be brought to the Committee in due course.

## 3 Recommendation:

- 3.1 The committee is asked to note the risk registers.



*Awarded in:*  
Housing Services  
Waste and Recycling Services



## Salisbury District Council Corporate Risk Register (Cabinet 7<sup>th</sup> November 2007)

No	Type of Risk	Impact	Likelihood	Category	Risk Source	Owner	Response	Action	Residual Status	Updated	Risk Acceptable Y/N
1	Failure to meet financial challenge	5	4	Financial Reputational Political	Cabinet	Cabinet / MT	Treat	Revised financial strategy agreed	3/3	30/10/07	
2	Failure to put in place resources to meet the council's objectives (capacity)	4	4	Political Reputational	Cabinet	Cabinet / MT	Treat	Systems designed to track level of vacancies and impact on the organisation.	4/4	30/10/07	
3	Failure to secure adequate investment to maintain the council's housing stock to the quality level desired by tenants	5	5	Financial Reputational People	Cabinet	HM	Treat	Review of business plan in train	4/4	30/10/07	
4	Failure to meet targets projected from policies put in place to secure affordable housing	3	3	Reputational Political People	Cabinet	SHS	Tolerate	Renewed leadership from Affordable Housing Board	2/2	30/10/07	
5	Failure to meet targets for diverting household waste form landfill	4	5	Reputational Political	Cabinet	HES	Treat	Revisions to waste collection system to boost recycling agreed.	4/5	30/10/07	
6	Failure to meet objectives of Salisbury Transport Plan	3	3	Reputational political people financial	Cabinet	HFPT	Treat	Need to review financial impact of final park and ride site on MTFS and political priorities	3/3	30/10/07	
7	Failure or delay of office project	5	5	Financial Reputational	Cabinet	MT	Treat	Council has opted to modify the original scheme. This will delay construction and offers some financial challenges.	5/5	30/10/07	
8	Deterioration in community safety	3	2	Reputational Political People	Cabinet	HCI	Tolerate	Policies in place to improve community perception of safety. Impact of new alcohol licensing arrangements need to be watched	2/1	30/10/07	
9	Significant unintentional deterioration in levels of performance, effectiveness, quality or efficiency	4	4	Reputational Political People	Cabinet	MT	Treat	Systems designed to track level of vacancies and impact on the organisation.	4/4	30/10/07	
10	Failure to engage with partner and community in process of identifying investment priorities	4	3	Reputational Financial People	Cabinet	MT	Treat	SWSA re- focussed and providing more strategic leadership but all partnership working under threat from LGR	4/3	30/10/07	

11	Failure to meet external requirements on the quality, effectiveness and economy of council services	3	2	Reputational Political People	Cabinet	MT	Treat	See 2 and 9 in terms of the impact of LGR.	4/4	30/10/07	
12	Failure to improve the city centre leading to reduced business, employment, tourist and cultural activity	4	3	Financial Reputational Political	Cabinet	MT	Treat	Action taken to appoint project director and next steps reported to Cabinet.	3/3	30/10/07	
13	Local Government Reorganisation leading to cessation of corporate projects	4	4	Financial Political	Cabinet	MT	Treat	Political priorities reviewed to focus on projects which can and should be delivered.	5/5	30/10/07	

#### RESPONSE TO RISK

Once risks have been assessed for both impact and likelihood, a risk management response needs to be planned. The level and type of response will be determined by:

- o The risk level
- o The ease and cost of mitigation strategies, and
- o The nature of the risk

Impact		Likelihood	
5	Critical	5	Almost certain
4	Major	4	Highly likely
3	Significant	3	Likely
2	Moderate	2	Possible
1	Minor	1	Rare

#### DEFINITIONS OF RISK MANAGEMENT RESPONSE

##### Tolerate

The council may decide to accept and monitor the risk at the present time. This may be necessary for some risks that arise from external events.

##### Transfer

The council may decide to pass the risk on to another party. For example, contractual terms may be agreed to ensure that the risk is not borne by the council or insurance may be appropriate for protection against financial loss

##### Terminate

The risk may be such that the council could decide to end the activity or to modify it in such a way as to end the risk

##### Treat

The council may take actions or employ strategies to reduce the risk to the council

## RISK MAP FOR SALISBURY DISTRICT COUNCIL

Cabinet Residual risks shown against the Council's risk appetite

### Likelihood

